

09/28/00  
0917 U.S. PTO

09-29-00

A

Please type a plus sign (+) inside this box ☐ ☒  
Approved for use through 9/30/00, OMB 0651-0032  
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No.	99AB169
	First Inventor or Application Identifier	Reginald W. Sprecher
	Title	COMPONENT LOADER FOR INDUSTRIAL CONTROL DEVICE PROVIDING RESOURCE SEARCH CAPABILITIES
	Express Mail Label No.	EK072047210US

<b>APPLICATION ELEMENTS</b> See MPEP Chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Commissioner for Patents Box Patent Application Washington, D.C. 20231
---	--

<p>1 <input checked="" type="checkbox"/> Fee transmittal Form (Submit an original and a duplicate for fee processing)</p> <p>2 <input checked="" type="checkbox"/> Specification [Total Pages 17] (preferred arrangement set forth below)</p> <ul style="list-style-type: none"><li>- Descriptive title of the invention</li><li>- Cross References to Related Applications</li><li>- Statement Regarding Fed Sponsored R&amp;D</li><li>- Reference to Microfiche Appendix</li><li>- Background of the Invention</li><li>- Brief Summary of the Invention</li><li>- Brief Description of the Drawings (if filed)</li><li>- Detailed Description</li><li>- Claim(s)</li><li>- Abstract of the Disclosure</li></ul> <p>3 <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 3]</p> <p>4. Oath or Declaration [Total Pages 3]</p> <p>a. <input checked="" type="checkbox"/> Newly Executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from prior Application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)</p> <p>(Note Box 5 below)</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed Statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5 <input type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference herein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)</p> <p>a. <input type="checkbox"/> Computer readable Copy</p> <p>b. <input type="checkbox"/> Paper Copy (identical to computer copy)</p> <p>c. <input type="checkbox"/> Statement Verifying identity of above</p>
--	---

<b>ACCOMPANYING APPLICATION PARTS</b>	
8 <input checked="" type="checkbox"/> Assignment Papers (cover sheet & documents)	
9 <input type="checkbox"/> 37 CFR 3.73(b) Statement (where there is an assignee)	<input type="checkbox"/> Power of Attorney
10 <input type="checkbox"/> English Translation Document (if applicable)	
11 <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449	<input type="checkbox"/> Copies of IDS Citations
12 <input type="checkbox"/> Preliminary Amendment	
13 <input checked="" type="checkbox"/> Return receipt postcard (MPEP 503) (Should be specifically itemized)	
14 <input type="checkbox"/> *Small Entity Statement(s)	<input type="checkbox"/> Statement filed in prior application
	<input type="checkbox"/> Status still proper and desired
15 <input type="checkbox"/> Certified copy of priority Document(s) (if foreign priority is claimed)	
16 <input type="checkbox"/> Other:	
* A new statement is required to pay small entity fees, except where one has been filed in a prior application and is being relied upon	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application no. \_\_\_\_\_

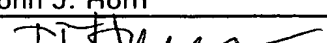
Prior application information: Examiner: \_\_\_\_\_ Group/Art Unit: \_\_\_\_\_

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label ☐ Correspondence address below

(Insert Customer No. or Attach bar code label)

NAME	John J. Horn				
	Patent Dept. 704P, 8th Floor, T-29				
ADDRESS	Rockwell Technologies, LLC				
	1201 S. Second Street				
CITY	Milwaukee	STATE	WI	ZIP CODE	53204-2496
COUNTRY	USA	TELEPHONE	(414) 382-3960	FAX	(414) 382-3900

Name (Print/Type)	John J. Horn	Registration No. (Attorney/Agent)	28,803
Signature		Date	Sept. 28, 2000

DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.  
4626101 110003.97150

**FEE TRANSMITTAL  
for FY 2000**

Patent fees are subject to annual revision.  
Small Entity payments must be supported by a small entity statement  
otherwise large entity fees must be paid. See Forms PTO/SB/09-12  
See 37 C.F.R. §§1.27 and 1.28

TOTAL AMOUNT OF PAYMENT \$730.00

**Complete if Known**

Application Number	
Filing Date	Herewith
First Named Inventor	Reginald W. Sprecher
Group Art Unit	
Examiner Name	
Attorney Docket Number	99AB169

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number 01-0857Deposit Account Name Rockwell Automation

☒ Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	690.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	690	208	345	Reissue filing fee	
114	150	214	75	Provisional filing fee	
SUBTOTAL (1)					(\$690.00)

**2. CLAIMS**

Total Claims	Extra	Fee from below	Fee Paid
20	-20**= 0	X --	= --
Independent 1	-3**= 0	X --	= --
Multiple Dependent Claims			=

\*\* or number previously paid, if greater. For reissues see below

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim
109	78	209	39	Reissue independent claims over original patent
110	18	210	9	Reissue claims in excess of 20 and over original patent
SUBTOTAL (2) (\$) -				

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920	112	920	Requesting publication of SIR prior to Examiner action	
113	1,840	113	1,840	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,210	241	605	Petition to revive unintentionally abandoned application	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40.00
146	690	246	345	Filing a submission after final rejection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					
* Reduced by Basic Filing Fee Paid					
SUBTOTAL (3) (\$40.00)					

**SUBMITTED BY**Typed or Printed Name John J. Horn

Registration No. (Attorney/Agent)

28,803**Complete (if applicable)**Telephone 414-382-3561

Signature

JJH

Date

Sept. 28, 2000